

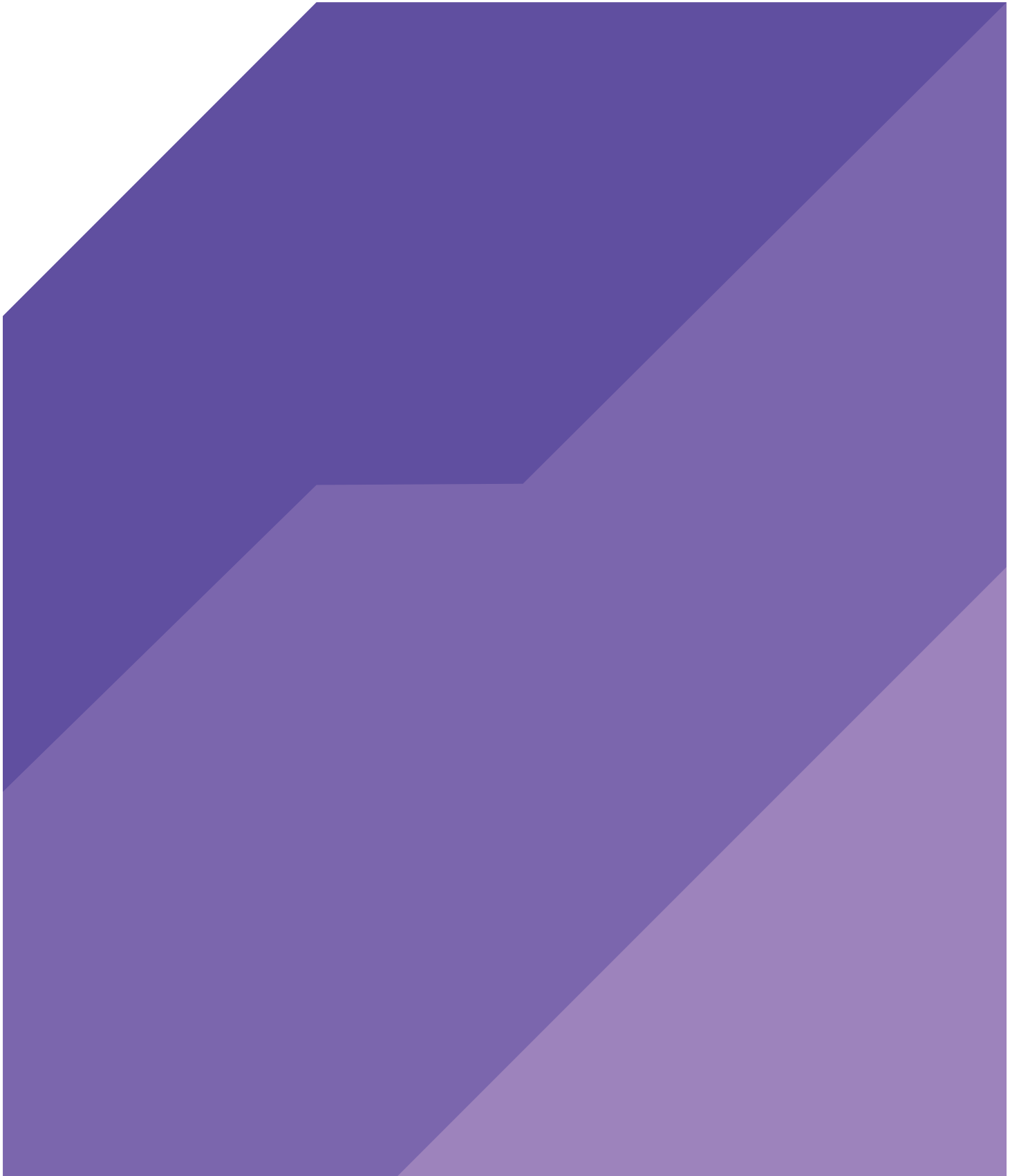


Additional Permitted Subscription application forms

This application pack contains forms required to use an Additional Permitted Subscription allowance following the death of an ISA investor.

- Form A **Application to use an Additional Permitted Subscription allowance to invest in an Invesco stocks and shares ISA**
- Form B **Application to transfer your Additional Permitted Subscription allowance from another ISA provider to Invesco**

These application forms should be used for any investments you wish to make from 15 February 2021 onwards



If you have any questions about completing these application forms, please contact your financial adviser or call us on 0800 085 8677.

How to invest with us

Form A

Please complete Form A if you would like to invest all or part of your Additional Permitted Subscription allowance into an Invesco stocks and shares ISA.

Where the Beneficiary did not inherit the assets of the deceased investor's ISA

Please note where you did not inherit the assets of the deceased investor's ISA but did inherit the assets of an ICVC account, we can accept an instruction to sell all of the investment(s) held in the ICVC account of the deceased investor and invest some or all of the sale proceeds into an Invesco Stocks and Shares ISA using an APS allowance. Please call us for details on how to instruct us.

Form B

Please complete Form B if you would like us to request the transfer of your Additional Permitted Subscription allowance from another ISA manager.

Once your Additional Permitted Subscription allowance has been transferred from the other ISA manager, you can then complete Form A to invest.

Please note subsequent subscriptions can only be made in cash.

For Form A, before you send your completed application form to us, please refer to the checklist below:

Step 01

If you use your APS allowance with us, please ensure you instruct us within the time limits. You can use your APS allowance up to three years after the date of death of the deceased, or up to 180 days after the administration of the estate is complete, whichever is later.

Please also ensure the total value of the subscriptions you make with us does not exceed your APS allowance.

For information on the value of your APS allowance please see note 1 under the Important notes on page 05.

If you wish to transfer your APS allowance from another ISA manager, please make sure that you have already submitted Form B to us and that we have confirmed that the allowance has been transferred to ourselves.

Step 02

Please complete all sections that apply to you and make sure that your choices are clear and legible.

If you have a Financial Adviser, please ensure that they complete their details.

Step 03

Please refer to the latest fund and share class specific Key Investor Information Document (KIID) or Key Information Document (KID) at www.invesco.co.uk/icvc-kiids and the ICVC Supplementary Information Document (SID) and ICVC ISA Terms and Conditions (for ISA investors only) at www.invesco.co.uk before making any decision to invest in our funds.

All of these documents are also available from us on request by calling 0800 085 8677.

Telephone calls may be recorded.

Step 04

We are legally obliged to verify your identity for anti-money laundering purposes. This may include us obtaining information from a credit reference agency. However, we will use any information we obtain in this way only for verification of your identity and address and not for any other purpose.

Verification checks with credit reference agencies may fail due to a variety of factors. In order to avoid us having to reject your application if the credit reference agency check is not successful, we request that you provide evidence of both your identity and address as laid out in the 'Invesco anti-money laundering requirements' document that can be found at the back of this booklet.

If you already hold an account with us, your personal details have not changed and you have not been notified that your identity and address checks are outstanding, then we can typically use our existing records for verification. Please contact us if you'd like to check whether your identity and address checks are outstanding.

If we are unable to verify your identity and address using one of the above methods we will not be able to process your application and investments will not be made.

Step 05

If you are investing using the sale proceeds of assets inherited from the deceased investor's ISA, then please enclose the Probate Closure Form with this application. This can be found in 'The next steps' document which is available on our website or you can request a copy by calling us on 0800 085 8677. If you are investing using your own money, please enclose a cheque made payable to 'Invesco Fund Managers Limited'. Only cheques drawn on an account in your own name or a joint account including your name can be accepted. If your application form is accompanied by a banker's draft or building society cheque, the bank or building society must add your full name, permanent residential address and their company stamp on the reverse of the cheque.

Step 06

All applications must include your bank or building society account details for the payment of sale proceeds and income (where applicable). The account must be a UK personal account in your own name or a joint account which includes your name.

We will need to verify the bank or building society account as yours. We may do this verification electronically, using a third party.

Please provide an original voided cheque, an original or online bank or building society statement from the last 3 months, or a paying in slip with your application. The document will be used in any scenario where electronic verification is not successful. The document must be for the account details that you have provided on the application.

Statements must clearly state the name of the bank or building society, your forename and surname (which should match the details you have provided on the application form), the bank/building society account number and sort code. The statement must be sent to us via post. Photocopies are not accepted.

If either no bank or building society account details are provided or we are unable to verify the account as yours then we will not be able to process your application.

If we have received and accepted a document to verify your account previously, you do not need to send us the document again.

Step 07

Please sign the declaration. We can only accept an application form with an original signature.

Step 08

Return the completed form in the pre-paid envelope provided or address it to: Invesco Administration Centre, PO Box 586, Darlington, DL1 9BE, UK

Any investment instructions sent to another Invesco address may be delayed, and where the instruction is accompanied by a cheque, the instruction will be returned to you, as we will be unable to process within the timescales prescribed by the Client Money rules.

Funds and share classes

For a full list of funds available, please refer to 'A guide to income' available from our website or by contacting us. This guide also provides details on which funds pay out income and when and how that income is paid. This document is available at www.invesco.co.uk and is also available from us on request by calling 0800 085 8677.

If you have received a personal recommendation (advice) on this application from a qualified financial adviser or you do not have a financial adviser, we will purchase Accumulation (No Trail) or Income (No Trail) shares on your behalf.

If you have a financial adviser, but have not received a personal recommendation (advice) on this application we will purchase Accumulation or Income shares on your behalf. Renewal commission will be paid to your financial adviser in respect of your purchase. If your financial adviser does not wish to receive commission on your purchase, then Accumulation (No Trail) or Income (No Trail) shares will be purchased instead, and this should be specified by the adviser in the section of the form where funds to be invested in are confirmed.

All references in this application pack to accumulation shares refer to either Accumulation or Accumulation (No Trail) shares as applicable and all references to income shares refer to either Income or Income (No Trail) shares as applicable.

Please note that some funds do not offer Income or Accumulation shares. As a result, you can only invest in the No Trail shares of these funds.

Financial advice

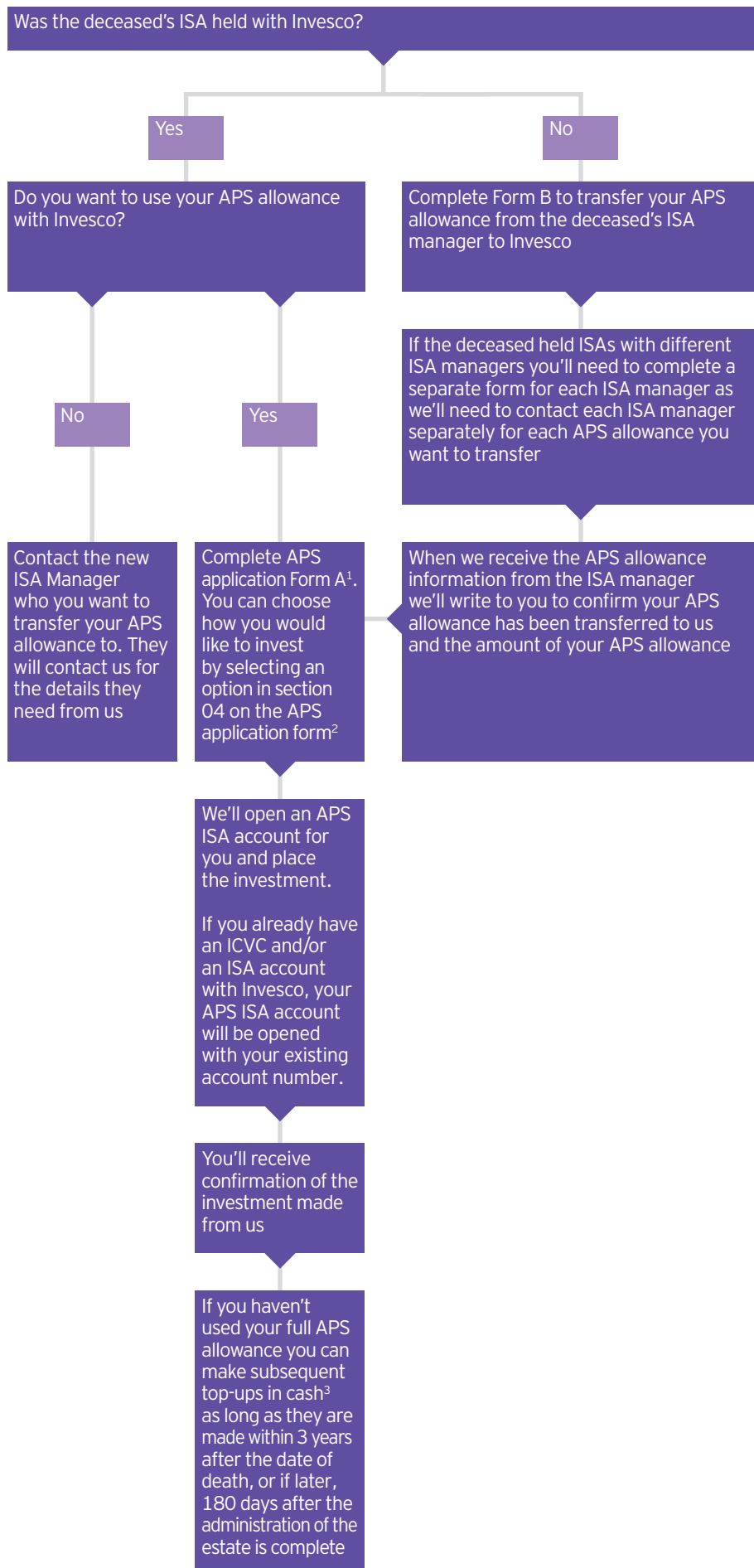
If you are unsure whether our product is suitable for you, you should seek advice from a financial adviser.

More information

If you would like more information including our prospectuses and the latest Annual or Interim Reports for any of our funds, before you invest, please visit our website at www.invesco.co.uk or call us on 0800 085 8677.

Telephone calls may be recorded.

Additional Permitted Subscription (APS) process flow



Important notes

- ¹ Please ensure the total value of the subscriptions you make with us do not exceed your APS allowance.
- If the ISA investor died before 6 April 2018**, your APS allowance is equal to the value of the deceased investor's ISA on the date of death.
- For income shares, the value on the date of death is the value of the shares held on that date plus any income paid out in relation to those shares after the date of death, net of any tax reclaimed from HM Revenue & Customs (HMRC), where the ex-dividend date of that income fell on, or before, the date of death.
- For accumulation shares, the value of the deceased investor's ISA on the date of death is the value of those shares on that date (any accrued income in respect of accumulation shares is already reflected in the share price).
- If the ISA investor died on or after 6 April 2018**, their ISA became a 'continuing ISA' on the date of death. The ISA will continue to be a 'continuing ISA' until the earliest of:
- The administration of the deceased's estate is complete
 - The ISA is closed
 - 3 years after the date of death
- Your APS allowance is equal to the value of the deceased investor's ISA on the date of death or on the date the ISA stops being a 'continuing ISA', whichever is higher. If, however, you decide to use part of your APS allowance calculated at the date of death to subscribe into your own ISA while the deceased investor's ISA remains open as a continuing account, your APS allowance will be the value of the deceased investor's ISA as at the date of death.
- For income shares, the value on the relevant date (i.e. the date of death or the date that the deceased investor's ISA stops being a continuing ISA) is the value of the shares held on that date plus any income paid out in relation to those shares after that date, where the ex-dividend date of that income fell on, or before, that date.
- For accumulation shares, the value on the relevant date is the value of those shares on that date (any accrued income in respect of accumulation shares is already reflected in the share price).
- Further information can be obtained from the legal representative of the estate or by calling us on freephone 0800 085 8677. We're here Monday to Friday, 8.30am to 6pm.
- ² If you are investing using inherited assets from the deceased's Invesco ISA, then please enclose the Probate Closure Form with this application.
- ³ You'll need to complete Form A for each subsequent top up.



Form A

Application to use an Additional Permitted Subscription allowance to invest in an Invesco stocks and shares ISA

This form is for making an investment into an Invesco stocks and shares ISA using an Additional Permitted Subscription (APS) allowance following the death of an ISA investor.

Please complete this form using BLOCK capitals.

Agent details

This section is only to be completed by a financial adviser, if applicable.

Note that agent codes are either 7 or 8 digits.

Your details

You must provide us with all the information required in this section. If any information is not provided we will not be able to process your application.

Your National Insurance Number

You must provide us with your National Insurance Number or sign to say that you don't have one. If you leave this question blank we will not be able to process your application.

Privacy Notice

When you are investing in the funds or otherwise interact with us, we collect information about you which constitutes personal data under applicable laws and regulations. Our Privacy Notice explains how we collect, use and protect your personal data. You can find our Privacy Notice on our website and it is also available upon request.

Anti-money laundering verification

We are legally obliged to verify your identity for anti-money laundering purposes. This may include us obtaining information from a credit reference agency. However, we will use any information we obtain in this way only for verification of your identity and not for any other purpose.

Please provide evidence of both your identity and address as laid out in the 'Invesco anti-money laundering requirements' document that can be found at the back of this form. This documentation will be used in any scenario where sourcing the required information from a credit reference agency is not successful.

If we are unable to verify your identity and address then we will not be able to process your application.

01 Agent details - to be completed by your financial adviser	
Adviser name	
Company	
Address including postcode	
Agent code	
FCA firm reference number	
With regard to the Distance Marketing directive please confirm what type of contract this is <input type="checkbox"/> Distance <input type="checkbox"/> Non-distance	
Please indicate whether you are acting as the agent on all investments in this account, or on this transaction only <input type="checkbox"/> All investments on this account <input type="checkbox"/> This transaction only	
Non-advised investments: <input type="checkbox"/> Please tick this box if you have not provided advice about this application	
Advised investments: <input type="checkbox"/> Please tick this box if you have provided advice about this application	
02 About you - to be completed by you	
<input type="checkbox"/> I have an agent on my account but do NOT wish for them to be reflected on this transaction	
Account number (if applicable)	
Title (please tick)	<input type="checkbox"/> Mr <input type="checkbox"/> Mrs <input type="checkbox"/> Miss <input type="checkbox"/> Ms <input type="text"/> Other please specify
Surname	
Other names (in full)	
Permanent residential address including postcode	
<input type="checkbox"/> If you or your spouse/civil partner are a Crown Employee, please tick here	
Date of birth	d d m m y y
Do you have a National Insurance Number? (this will be on your payslip or tax documents)	<input type="checkbox"/> Yes Please detail here <input type="checkbox"/> No If you have never been issued with a National Insurance Number, sign here <input type="text"/> Your signature
Telephone	Daytime Alternative
<input type="checkbox"/> Information about our products and services We may send you information about our products and services and anything else that we think you may be interested in. If you would like to receive this information, please tick the box.	
Anti-money laundering verification (please tick)	<input type="checkbox"/> I have included proof of personal identity documentation <input type="checkbox"/> I have included proof of personal address documentation
If you already hold an account with us, your personal details have not changed and you have not been notified that your identity and address checks are outstanding, then we can typically use our existing records for verification. Please contact us if you would like to check whether your identity and address checks are outstanding.	

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Your APS Allowance

If the ISA investor died before 6 April 2018, your APS allowance is equal to the value of the deceased investor's ISA on the date of death.

If the ISA investor died on or after 6 April 2018, your APS allowance is equal to the value of the deceased investor's ISA on the date of death or on the date the ISA stops being a 'continuing ISA', whichever is higher. If, however, you decide to use part of your APS allowance calculated at the date of death to subscribe into your own ISA while the deceased investor's ISA remains open as a continuing account, your APS allowance will be the value of the deceased investor's ISA as at the date of death.

Please see Important information on page 05 for further details.

Further information can be obtained from the legal representative of the estate or by calling us on freephone 0800 085 8677. We're here Monday to Friday, 8.30am to 6pm.

Option 1 - sell and invest

We will sell all of the assets of the deceased investor's ISA.

We will invest the percentage of the assets or amount indicated by you in section 04 of this form. If the sale proceeds exceed your APS allowance, we will only invest the sale proceeds up to the value of your APS allowance.

The sale of assets will be processed at the valuation point following receipt of your instruction and the Probate Closure Form¹, except:

- (i) where this is not on a dealing day² for one or more of the funds being sold, the investment will be sold at the valuation point on the next dealing day for all of the funds; or
- (ii) where this is not on a dealing day for one or more of the funds being bought, the investment will be sold at the valuation point on the next dealing day for all of the funds; or
- (iii) where an instruction is received after 5pm and it includes an instruction to sell a fund within the Invesco Summit Growth Investment Series, all the investments will be sold at the valuation point following the next valuation point; or
- (iv) where an instruction is received after 5pm and it includes an instruction to buy a fund within the Invesco Summit Growth Investment Series, all the investments will be sold at the valuation point following the next valuation point.

The purchase of investment(s) with any sale proceeds will be processed at the same valuation point as the sale of the investment(s), which means that the sale proceeds will not be out of the market. Sales and purchases in the same fund will therefore, always be at the same price.

All the sale proceeds that are not invested will be paid out to the payee named in Section 04 of the Probate Closure Form.

Where the value of the subscription made using the sale proceeds of the deceased ISA investor's holdings is less than your APS allowance, you will then have the option to make additional permitted subscriptions to 'top up' your total subscriptions to the value of your APS allowance. The additional cash subscriptions must be made within 3 years after the date of death, or if later, 180 days after the administration of the estate is complete. You'll need to complete this Form A for each subsequent top up.

¹ The Probate Closure Form should be completed by the legal representative of the estate and is contained within 'The next steps' document on our website www.invesco.co.uk or by calling us on Freephone 0800 085 8677.

² A dealing day means Monday to Friday excluding UK public and bank holidays or any day on which the London Stock Exchange plc is not open or such other day as the Manager may with the consent of the Depositary decide from time to time. The list of expected non-dealing days is available on the Invesco website www.invesco.co.uk.

03 Details of the Deceased - to be completed by you

Deceased's Title (please tick)	<input type="checkbox"/> Mr <input type="checkbox"/> Mrs <input type="checkbox"/> Miss <input type="checkbox"/> Ms	Other please specify							
Deceased's surname									
Deceased's other names (in full)									
Permanent residential address of the deceased at their date of death									
Deceased's date of birth	d	d	m	m	y	y			
Deceased's national insurance number (if known)									
Date of death	d	d	m	m	y	y			
Date of marriage or civil partnership between you and the deceased	d	d	m	m	y	y			
Deceased's existing ISA account number(s) with Invesco (if applicable)									

04 Investing in your APS stocks and shares ISA

Please indicate how you would like to subscribe. Please be aware that once a subscription has been made with your APS allowance, any future subscriptions in respect of your APS allowance must be made into the Invesco Stocks and Shares ISA and should be accompanied by a new Form A.

Option 1 - Sell the deceased investor's ISA holdings and invest some or all of the sale proceeds.

Please note you can only invest the assets of the deceased investor's ISA that you inherit and a Probate Closure Form authorising the sale and the investment must accompany this application form.

Enter the percentage of the assets of the deceased investor's ISA that you wish to invest. If the sale proceeds to be invested exceed your APS allowance, we will only invest the sale proceeds up to the value of your APS allowance.

Enter the percentage of the ISA investments you wish to invest:

			%
--	--	--	---

or

Enter the amount you wish to invest. The amount must not exceed the value of your APS allowance.

£	
---	--

Please confirm your investment choices in section 05.



Option 2 - Subscribe in cash

This option should be selected where you are using your APS allowance for the first time and wish to subscribe in cash or where you are making a subsequent 'top up' cash subscription.

Funds and share classes

For a description of the funds we offer, please refer to the latest relevant Key Investor Information Documents (KIID) or Key Information Documents (KID) at www.invesco.co.uk/icvc-kiids. The Invesco ICVC ISA Terms and Conditions and the Supplementary Information Document (SID) are also available on our website. All of these documents are also available from us on request by calling 0800 085 8677.

Funds that pay income

Please note that not all of our funds pay out income. For more details, see 'A guide to income' available from our website or by contacting us.

Anti-money laundering verification for gifts

See the anti-money laundering verification notes to the left of section 02. If we are unable to verify the identity and address of the person making the gift, then we will not be able to process the application.

Option 2 - Subscribe in cash.

Please confirm your investment choices in section 05 and enclose a cheque made payable to 'Invesco Fund Managers Limited'.

I wish to subscribe

£ of my APS allowance

or

100% of my APS allowance

05 Your investment choice

Funds you want to invest in	Fund name	ISA lump sum (min £500 per fund)	Type of share
<input type="checkbox"/>	<input type="text"/>	£ <input type="text"/>	<input type="checkbox"/> Inc <input type="checkbox"/> Acc
<input type="checkbox"/>	<input type="text"/>	£ <input type="text"/>	<input type="checkbox"/> Inc <input type="checkbox"/> Acc
<input type="checkbox"/>	<input type="text"/>	£ <input type="text"/>	<input type="checkbox"/> Inc <input type="checkbox"/> Acc
<input type="checkbox"/>	<input type="text"/>	£ <input type="text"/>	<input type="checkbox"/> Inc <input type="checkbox"/> Acc
<input type="checkbox"/>	<input type="text"/>	£ <input type="text"/>	<input type="checkbox"/> Inc <input type="checkbox"/> Acc

Please be aware that once a subscription has been made with your APS allowance, any future subscriptions in respect of your APS allowance must be made into the Invesco stocks and shares ISA and be accompanied by a new Form A.

I would like my income paid out to me (lump sum investors only)

If you would like your income paid out to you, you must invest in income shares. If you do not indicate that you want income paid out to you, we will automatically purchase accumulation shares for you.

Where you invest in income shares, income will be paid to the bank or building society details provided in section 07 'Payments to you'. Please note that if you do not provide your bank or building society details, then we will not be able to process your application.

06 Gifting (lump sum investments only)

If someone other than the Account Holder is purchasing the shares for you in your name, as a gift, they must complete the following section so that we may verify their identity for anti-money laundering purposes.

Name in full

Address including postcode

Date of birth

I confirm I have read the note on anti-money laundering verification on this form

Gifter's signature

Date

- Anti-money laundering verification** (please tick)
- I have included proof of personal identity documentation
 - I have included proof of personal address documentation



Bank or building society account verification

To enable us to verify your account, please send us an:

- original voided cheque; or
- original or online bank or building society statement; or
- original paying in slip

The document must be for the account your sale proceeds are to be paid into.

Please note:

- Photocopies are not accepted.
- Any statement provided must be no older than 3 months old, clearly stating name of bank or building society, client's forename and surname (which should match our records), the bank/building society account number and sort code.

Please return your completed form using the pre-paid envelope to:

Invesco Administration Centre
PO Box 586
Darlington
DL1 9BE
United Kingdom

If you have any questions please speak to your financial adviser or contact us on:

Telephone 0800 085 8677
Facsimile 020 3180 7647

www.invesco.co.uk

Telephone calls may be recorded.

07 Payments to you

Please provide details of the bank or building society account into which you would like any future proceeds and, where applicable, your income to be paid. The account must be a UK personal account in your own name, or a joint account which includes your own name.

It is your responsibility to inform us of any changes to your account details.

Please ensure that the correct verification document for the below bank or building society account has been provided, as without this we will be unable to process your application.

Account holder name(s)	
Bank or building society name and address including postcode	
Account number	
Sort code	
Building society Ref/ Roll No.	
Bank verification documents	Please tick to confirm which document you are sending in to enable us to verify your bank or building society account. <input type="checkbox"/> Original voided cheque <input type="checkbox"/> Original or online bank or building society statement <input type="checkbox"/> Original paying in slip If we have received and accepted a document to verify your bank / building society account previously, you do not need to send us the document again.

08 Your declaration and signature

I declare that:

I am the surviving spouse/civil partner of the deceased. I was living with the deceased within the meaning of Section 1011 of the Income Tax Act 2007 at the date of the deceased's death (we were not separated under a court order, under a deed of separation, or in circumstances where the marriage or civil partnership had broken down). The subscription is made under the provisions of regulation 5DDA of the ISA regulations (additional permitted subscription). The subscription is being made within 3 years of the date of death, or if later, 180 days of the completion of the administration of the estate.

I declare that I am 18 years of age or over and I am resident in the United Kingdom for tax purposes or, if not resident, either perform duties which, by virtue of Section 28 of the Income Tax (Earnings & Pensions) Act 2003 (Crown Employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties. I will inform Invesco Fund Managers Limited (IFML) if I cease to be resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties. I authorise IFML to: hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash, to make on my behalf any claims to relief from tax in respect of ISA investments.

I confirm I have read and understood your Privacy Notice. I confirm that I have read the note on anti-money laundering verification on this form. To receive sale proceeds directly into my bank or building society account, I confirm I have provided acceptable documents to verify my bank or building society details.

I declare that the information on this completed application form is accurate and complete to the best of my knowledge and belief and I agree to inform you in writing immediately of any changes to the information provided on this form. I understand that this application is subject to acceptance by IFML. I confirm that all subscriptions made, and to be made, belong to me.

I confirm that I wish to invest in the shares as detailed above on the basis of the Invesco ICVC ISA Terms and Conditions and the latest available relevant fund and share class specific Key Investor Information Document(s) or Key Information Document(s), copies of which I have been provided with.

I acknowledge and agree that this application is subject to the Terms and Conditions as set out in the latest ICVC ISA literature, which includes the Invesco ICVC ISA Terms and Conditions, the Supplementary Information Document and the Key Investor Information Document(s)/Key Information Document(s).

Your signature	Date
	d d m m y y





Form B Application to transfer your Additional Permitted Subscription allowance from another ISA provider to Invesco

Please note if multiple ISAs were held with the deceased's ISA manager their value will be combined to form one Additional Permitted Subscription (APS) allowance. Please complete a separate form for each ISA manager you wish to transfer your APS allowance from.

Please complete this form using BLOCK capitals.

01 About you - to be completed by you	
Account number	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> (existing investors only)
Title (please tick)	<input type="checkbox"/> Mr <input type="checkbox"/> Mrs <input type="checkbox"/> Miss <input type="checkbox"/> Ms <input type="text"/> Other please specify
Surname	<input type="text"/>
Other names (in full)	<input type="text"/>
Permanent residential address including postcode	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
	<input type="checkbox"/> If you or your spouse/civil partner are a Crown Employee, please tick here
Date of birth	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Do you have a National Insurance Number? (this will be on your payslip or tax documents)	<input type="checkbox"/> Yes Please detail here <input type="text"/> <input type="checkbox"/> No If you have never been issued with a National Insurance Number, sign here
Telephone	Daytime <input type="text"/> Alternative <input type="text"/>
<input type="checkbox"/> Information about our products and services We may send you information about our products and services and anything else that we think you may be interested in. If you would prefer not to hear from us, please tick the box.	

SP893/63408B-V8/150221

Your details

You must provide us with all the information required in this section. If any information is not provided we will not be able to process your application.

Your National Insurance Number

You must provide us with your National Insurance Number or sign that you don't have one. If you leave this question blank we will not be able to process your application.

Privacy Notice

When you are investing in the funds or otherwise interact with us, we collect information about you which constitutes personal data under applicable laws and regulations. Our Privacy Notice explains how we collect, use and protect your personal data. You can find our Privacy Notice on our website and it is also available upon request.





The following information must be completed by you and will be sent by us to the existing ISA manager

02 Details of the Deceased

Deceased's Title Mr Mrs Miss Ms Other please specify

Deceased's surname

Deceased's other names
(in full)

Permanent residential address of the deceased at their date of death

Deceased's date of birth d d m m y y

Deceased's national insurance number (if known)

Date of death d d m m y y

Date of marriage or civil partnership between you and the deceased d d m m y y

03 Additional Permitted Subscription Allowance Transfer Information

Name of deceased's ISA manager

Address of deceased's ISA manager

Deceased's ISA account number(s) with ISA manager



04 Your Additional Permitted Subscription (APS) eligibility declaration and signature

Please be aware that an APS allowance can only be transferred once, and only where no subscriptions have been made in respect of that APS allowance. Once the APS allowance has been transferred, subscriptions may only be made in cash.

About you - to be completed by you

Title (please tick) Mr Mrs Miss Ms

Surname

Other names (in full)

Date of birth

Please return your completed form using the pre-paid envelope to:

Invesco Administration Centre
PO Box 586
Darlington
DL1 9BE
United Kingdom

If you have any questions please speak to your financial adviser or contact us on:
Telephone 0800 085 8677
Facsimile 020 3180 7647

www.invesco.co.uk

Telephone calls may be recorded.

I, the investor wishing to transfer the APS allowance to Invesco, declare that:

- I am the surviving spouse / civil partner of the deceased
- I was living with the deceased within the meaning of Section 1011 of the Income Tax Act 2007 at the date of the deceased's death (we were not separated under a court order, under a deed of separation, or in circumstances where the marriage or civil partnership had broken down)
- I have not used, nor do I intend to use, the APS allowance with the existing ISA provider of the deceased investor named on this application and I have not transferred the APS allowance from or to another provider
- I intend to make an APS application to Invesco Fund Managers Limited (IFML)
- I am eligible to transfer an APS allowance in respect of the deceased named on this application.

I confirm I have read and understood your Privacy Notice.

I authorise the existing ISA manager of the deceased as specified on this application to provide Invesco with any information, written or non-written, concerning the APS allowance and former ISA in respect of myself (the investor) and the deceased and to accept any instruction from them relating to the APS allowance being transferred.

I declare that this APS allowance transfer application form has been completed correctly to the best of my knowledge and belief.

Your signature Date

To the ISA provider:

Please confirm in writing the aggregated value of the Additional Permitted Subscription (APS) allowance and that the transfer is complete to:

Invesco Administration Centre
PO Box 586
Darlington
DL1 9BE
United Kingdom

05 Transfer Acceptance

We, Invesco Fund Managers Limited, are willing to accept this APS allowance transfer in line with the investor's instructions above. We confirm that, subject to relevant checks, we are willing to accept an APS application from the investor.

Name of new ISA manager





Appendix

Invesco anti-money laundering requirements

Please refer to the important notes page overleaf for further guidance or call us on freephone 0800 085 8677.

Documentation guidelines for UK private clients

Please supply **one** document from **both** section one and two below. The same document cannot be used to cover both sections.

Section one

Evidence of personal identity

- Current passport
- Current full EEA/UK photo or old style driving licence
- UK photo provisional licence
- EEA member state identity card (UK identity cards are not acceptable)
- Northern Ireland voters card
- Residence permit issued by the Home Office
- Firearms certificate
- A letter or document which evidences entitlement to a state or local authority benefit, tax credit, pension or grant (dated within the last 12 months)

Section two

Evidence of address

- Recent utility bill (mobile phone bills are not acceptable)¹
- Recent bank/building society statement or letter¹
- Recent letters or statements from other FCA-regulated financial institutions, e.g. pension providers or insurers¹
- Recent credit card statement¹
- HM Revenue & Customs (formerly the Inland Revenue) Tax correspondence (P45s and P60s are not acceptable)¹
- Current local authority council tax statement or demand letter¹
- Current full EEA/UK photo or old style driving licence
- UK photo provisional licence
- EEA member state identity card (UK identity cards are not acceptable)
- Northern Ireland voters card
- An instrument of a court appointment, e.g. a liquidator or grant of probate
- Solicitor's letter confirming completion of a house purchase or land registration that was completed in the last 12 months
- Local council rent card or local council tenancy agreement
- State Pension or benefits notification letter
- Firearms certificate

Section three

Who can certify the documents?

All documents must be originals. However, we can accept copies certified by authorised signatories in the UK from the list below:

- An employee of a firm regulated by the Financial Conduct Authority (FCA) (For example: Financial Advisor, Bank/Building Society employee). Please note some banks/building societies do not offer a certification service.
- Lawyer or Solicitor (registered in the UK)
- Chartered accountant (who is a member of the Institute of Chartered Accountants)
- Doctor of medicine
- Police officer
- Post Office Document Certification Service
- Teacher
- Notary Public
- Judge
- Crown servant
- Local authority employee

Please note some services may charge for the certification facility.

The person who certifies the document must make sure the copy includes:

- The words 'I confirm this is a true copy of the original that I've seen'
- Their name, signature, date and a contact address, daytime telephone number and description of their professional capacity

¹ Documents used for evidence of address need to be the most recent of their kind. Please read overleaf for further information.

Please refer to the important notes section on this page for further guidance or call us on +44 (0) 203 528 4174.

Documentation guidelines for overseas private clients

Please supply **one** document from **both** section one and two below. The same document cannot be used to cover both sections.

Section one Evidence of personal identity

- Current passport
- Current full EEA driving licence
- EEA member state identity card (UK identity cards are not acceptable)

Section two Evidence of address

- Mortgage statement (most recent)¹
- Recent bank/building society statement or letter¹
- Recent credit card statement¹
- Recent utility bill (mobile phone bills are not acceptable)¹
- Current full EEA driving licence
- EEA member state identity card (UK identity cards are not acceptable)
- Local authority tax bill (valid for the current year)

Section three Who can certify the documents?

All documents must be originals. However, we can accept copies certified by authorised signatories from the list below:

- Lawyer or Solicitor
- Notary Public
- Member of the Judiciary
- Embassy or Consular staff
- Employees of financial institutions regulated in the EU
- Accountants who are current members of a professional body of accountants

Please note some services may charge for the certification facility.

The person who certifies the document must make sure the copy includes:

- The words 'I confirm this is a true copy of the original that I've seen'
- Their name, signature, date and a contact address, daytime telephone number and description of their professional capacity

Important notes for UK clients

- Photocopies of documents cannot be accepted.
- If you decide to sell your holding, we'll only be able to release the sale proceeds when we've completed the verification for all registered holders. If you remain unverified you'll be unable to make any further investments with us, including regular savings plan investments.
- Counterpart driving licences are not accepted.
- Statements and documents printed from the internet are not acceptable.
- All documents provided for evidence of personal identity must be current and valid.
- Documents used for evidence of address need to be the most recent of their kind, e.g. less than 12 months for annually produced documents, less than 6 months for bi-annual documents and less than 3 months for quarterly or more frequently produced documents.
- Documents which have been certified by a family member or by someone who is not actively working in their professional capacity (i.e. retired) are not acceptable.
- Documents need to be certified on the front page, where your details are displayed.
- We'll return all original documents, including original signature certified copies by recorded delivery.

Important notes for overseas clients

- Photocopies of documents cannot be accepted.
- If you decide to sell your holding, we'll only be able to release the sale proceeds when we've completed the verification for all registered holders. If you remain unverified you'll be unable to make any further investments with us, including regular savings plan investments.
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- Documents which have been certified by a family member or by someone who is not actively working in their professional capacity (i.e. retired) are not acceptable.
- Documents need to be certified on the front page, where your details are displayed.
- All documents returned by overseas clients must be in English or sent with a translation completed by an accredited translator.
- We'll return all original documents, including original signature certified copies by Royal Mail International Signed for service.

¹ Documents used for evidence of address need to be the most recent of their kind. Please read overleaf for further information.

Further information

Invesco Administration Centre
PO Box 586
Darlington
DL1 9BE
United Kingdom

Telephone 0800 085 8677
Facsimile 020 3180 7647
email enquiry@invesco.com

www.invesco.co.uk

Telephone calls may be recorded.

Invesco Fund Managers Limited, Company No. 898166
Registered in England at Perpetual Park, Perpetual Park Drive,
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Authorised and regulated by the Financial Conduct Authority,
Firm Reference No. 119298

SP893/63408-V8/150221